

## THE FUNDAMENTAL THEOREM OF CARDINAL ARITHMETIC

**Theorem 1.**  $\kappa^2 = \kappa$  for every infinite cardinal  $\kappa$ .

We need to show that there is a bijection between  $\kappa$  and  $\kappa \times \kappa$ . Let us give two proofs. The first one relies on the fact that for every well-ordering  $(L, <)$  there is a unique ordinal  $\alpha$  such that  $(L, <) \cong (\alpha, \in)$ . The second proof is even more elementary, it is based on Zorn's lemma. Both of the proofs uses transfinite induction on  $\kappa$ . To start the induction let  $F(n) = (\ell, m)$  for the unique  $(\ell, m)$  with  $n = 2^\ell \cdot (2m + 1) - 1$ . This  $F$  is a bijection between  $\omega$  and  $\omega \times \omega$ . Let  $\kappa$  be an uncountable cardinal.

*First proof:* We define a well-order on  $\kappa \times \kappa$  by letting  $(\alpha_0, \beta_0) \prec (\alpha_1, \beta_1)$  iff

$$\begin{aligned} & \max\{\alpha_0, \beta_0\} < \max\{\alpha_1, \beta_1\} \text{ or} \\ & \max\{\alpha_0, \beta_0\} = \max\{\alpha_1, \beta_1\} \text{ and } \alpha_0 < \alpha_1 \text{ or} \\ & \max\{\alpha_0, \beta_0\} = \max\{\alpha_1, \beta_1\} \text{ and } \alpha_0 = \alpha_1 \text{ and } \beta_0 < \beta_1. \end{aligned}$$

(Check that this is indeed a well-order!) Then there is an ordinal  $\lambda$  and an order-preserving bijection  $f$  from  $(\lambda, \in)$  to  $(\kappa \times \kappa, \prec)$ . We claim that  $\lambda = \kappa$ . Since  $|\kappa \times \kappa| \geq \kappa$  obviously holds, we know that  $\lambda$  must be at least  $\kappa$ . Suppose for a contradiction that  $\lambda > \kappa$  and let  $(\alpha, \beta) := f(\kappa)$ . Then on the one hand, there are  $\kappa$  many elements below  $(\alpha, \beta)$  with respect to  $\prec$  (namely  $f(\gamma)$  for  $\gamma < \kappa$ ). On the other hand, every such element has only coordinates smaller than  $\max\{\alpha, \beta\} < \kappa$  so there are at most  $|\max\{\alpha, \beta\}|^2$  such elements. By induction  $|\max\{\alpha, \beta\}|^2 = |\max\{\alpha, \beta\}| < \kappa$ , a contradiction.  $\square$

*Second proof:* Consider the set

$$P := \{f : f \text{ is a function with } \omega \subseteq D_f \subseteq \kappa, R_f = D_f \times D_f\}$$

partially ordered by  $\subseteq$  (i.e.  $g$  is larger than  $f$  iff  $D_g \supseteq D_f$  and  $f(\alpha) = g(\alpha)$  for  $\alpha \in D_f$ ). The conditions of Zorn's lemma hold: for a non-empty chain the union of its elements is an upper bound in  $(P, \subseteq)$ , for the empty chain any element is an upper bound ( $P \neq \emptyset$  because  $F \in P$ ). Let  $h$  be a maximal element in  $(P, \subseteq)$ . If  $|D_h| = \kappa$ , then by using a bijection between  $D_h$  and  $|D_h|$  we can obtain a desired  $\kappa \rightarrow \kappa \times \kappa$  bijection from  $h$ . Suppose for a contradiction that  $|D_h| < \kappa$ . Then we must have  $|\kappa \setminus D_h| = \kappa$ . Indeed, if we had  $|\kappa \setminus D_h| < \kappa$ , then

$$\kappa = |D_h| + |\kappa \setminus D_h| \leq 2 \cdot \max\{|D_h|, |\kappa \setminus D_h|\} \leq \max\{|D_h|, |\kappa \setminus D_h|\}^2 \stackrel{\text{induc.}}{=} \max\{|D_h|, |\kappa \setminus D_h|\} < \kappa$$

which is impossible, so  $|\kappa \setminus D_h| = \kappa$ , as we claimed.

Let  $X \subseteq \kappa \setminus D_h$  with  $|D_h| = |X| =: \lambda$ . We show that there is a bijection  $h'$  between  $X$  and  $X^2 \cup (D_h \times X) \cup (X \cup D_h)$ . Note that if it is done, then  $h \cup h' : D_h \cup X \rightarrow (D_h \cup X) \times (D_h \cup X)$  is a bijection contradicting the maximality of  $h$ . To ensure the existence of  $h'$ , we prove by using the induction hypothesis for  $\lambda$ , that  $|X^2 \cup (D_h \times X) \cup (X \cup D_h)| = \lambda$ :

$$\begin{aligned} & |X^2 \cup (D_h \times X) \cup (X \times D_h)| = \\ & |X^2| + |D_h \times X| + |X \times D_h| = \\ & |X|^2 + |D_h| \cdot |X| + |X| \cdot |D_h| = \\ & \lambda^2 + \lambda^2 + \lambda^2 = 3 \cdot \lambda^2 = 3 \cdot \lambda \leq \lambda \cdot \lambda = \lambda \end{aligned}$$

□